

## Certificate of Regulatory Approval

In the United Kingdom Banks are not granted licences. Instead the Prudential Regulation Authority ("PRA"), which is part of the Bank of England, grants various permissions to engage in particular activities, the most relevant of which is the permission to accept deposits.

National Bank of Kuwait (International) PLC is authorised by the PRA, and regulated by the Financial Conduct Authority ("FCA") and PRA.

Regulated firms appear in a Register maintained by the PRA and FCA jointly. This register can be accessed by the public.

Set out on the pages attached to this Certificate is a printout from the FCA Register. This shows that National Bank of Kuwait (International) PLC has been authorised and given the registration number 171532. It also shows the various permissions it has been given.

As a Head of Compliance for National Bank of Kuwait (International) PLC, I can confirm that the pages are a genuine extract from the PRA and FCA online Register. The extract is limited to the main page showing the authorisation and the pages relating to permissions granted.

Signed

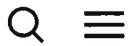
G. S. Allard

Name

Gavin Allard

Date

8 September 2020

[\(https://register.fca.org.uk/s/\)](https://register.fca.org.uk/s/)

Enter a name or reference number

Postcode or town (optional)

Show me first

 Firms  Individuals

Search

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# National Bank of Kuwait (International) Plc

Reference number: 171532

This firm is authorised for **specific activities and product types**. It's important to check the full record for what **regulated activities** this firm has permission to do.

Contents 

## Who is this firm?

### Firm details

Check details about this firm's place of business, contact details, trading names, etc.



### Address

N B K House  
13 George Street  
London  
W1U 3QJ  
UNITED KINGDOM

### Phone

4402072242277

## Email

info@nbki.com

## Website

<https://www.nbk.com/london> (<https://www.nbk.com/london>)

## Trading names

This firm also trades under **1 trading** names

## Firm reference number

171532

## Registered company number

02773743

### Firm status

Check this firm's status and any additional regulatory information.

## Status

Authorised

Since 01/12/2001

This firm is authorised for **specific activities and product types**. It's important to check the full record for what regulated activities this firm has permission to do.

## Type

Regulated

# How are customers protected?

### Protections and support

Understand the protections you have when dealing with this firm, and how to make a complaint.



#### Customer protections and the Register

Regulated activities performed by regulated firms or individuals are covered by a range of protections. These protections are not through the FCA but are through the Financial Ombudsman Service and the Financial Services Compensation Scheme.

The protections available relate to specific regulated activities, products and investment types. We recommend that you check below for the activities that this firm has FCA and/or PRA permission to provide before going ahead. You should also contact the firm and ask them to confirm the specific protections available to you.

## The Financial Ombudsman Service may be able to consider a dispute with this firm

Regulated activities that this firm has permissions for may be covered by the Financial Ombudsman Service. If you have complained to the firm and they haven't responded satisfactorily, you can contact the **Financial Ombudsman** (<https://www.financial-ombudsman.org.uk/>) for help.

## The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails

Regulated activities that this firm has permissions for may be covered by the Financial Services Compensation Scheme. If this firm has failed, you can contact the **Financial Services Compensation Scheme** (<https://www.fscs.org.uk/>) for help.


## If you have a complaint about a firm

First try to contact the firm directly. In most circumstances, a firm must reply within 8 weeks, or 15 days for payment services such as bank deposits, direct debits or online payments.

If you are concerned you have been scammed, contact **FCA Contact Centre** (<https://fca.org.uk/contact>) and **Action Fraud** (<https://www.actionfraud.police.uk/>) immediately.


## Complain to the firm

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 gavin.allard@nbki.com

 <https://www.nbk.com/london> (<https://www.nbk.com/london>)

## Report to the FCA

If you have concerns about a firm listed on the Register, **contact the FCA directly.**  
**(<https://www.fca.org.uk/contact>)**

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using **this form.** (**<https://www.fca.org.uk/report-scam-unauthorised-firm-individual>**)

## Help using the Register

If you have any concerns or difficulties using the Register you can contact the FCA and we will talk you through the record and answer any queries you may have.

>  
(<https://www.fca.org.uk/contact>)

## What can this firm do in the UK?

### Restrictions

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.



### Client Money

This firm can hold and/or control customer deposits and client money. It will not usually need separate permission to hold and/or control client money but it must protect this money – it cannot lend it or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

### Activities and services

Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.










#### What to do with this information

These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should **contact the FCA.** (**<https://www.fca.org.uk/contact>**)

Expand all activities

-  **Banking**
▼
-  **Insurance**
▼
-  **Mortgages & home finance**
▼
-  **Consumer credit**
▼
-  **Pensions**
▼
-  **Investments**
▼
-  **Other activities**
▼

The FCA is required to display some information related to certain non-regulated activities. Firms may do other business that is not regulated. You should check with the firm what business it carries out that isn't regulated and what your protections may be.

#### **Insurance Distribution**

A firm that offers or sells insurance products and services.  
This firm is able to undertake insurance distribution.

#### **Consumer buy-to-let Status**

Registered  
Since 21 Mar 2016

#### **Consumer buy-to-let Business**

Consumer buy-to-let lender  
Consumer buy-to-let administrator  
Consumer buy-to-let advisor

#### **Waivers, discretions, and exclusions**

Current waivers, discretions, and exclusions we hold for this firm.

## **Who is involved with activities at this firm?**

## Individuals



Individuals currently and previously involved in regulated activities at this firm.

# What can this firm do in the European Economic Area?

## Passport out



Regulated activities this firm can offer in other EEA countries.

# Who is this firm connected to?

## Regulators



Current and former regulators of this firm.

# Your feedback helps us improve the Register

If you're having difficulty using the Register, or have a specific question, you can contact **the FCA Contact Centre** (<https://www.fca.org.uk/contact>)

Was this page helpful?

Yes  No

How did you find using the Register today?

Submit



# BANK OF ENGLAND PRUDENTIAL REGULATION AUTHORITY

<https://www.bankofengland.co.uk/pru/pages/default.aspx>

Please contact the **PRA** [↗ \(http://www.bankofengland.co.uk/pru/pages/default.aspx\)](http://www.bankofengland.co.uk/pru/pages/default.aspx) for prudential queries about banks, building societies, credit unions, insurance firms.

## Useful resources and other organisations

[Financial Conduct Authority \(https://www.fca.org.uk\)](https://www.fca.org.uk)

[ScamSmart \(https://www.fca.org.uk/scamsmart\)](https://www.fca.org.uk/scamsmart)

[Financial Ombudsman Service \(https://www.financial-ombudsman.org.uk\)](https://www.financial-ombudsman.org.uk)

[Financial Services Compensation Scheme \(https://www.fscs.org.uk\)](https://www.fscs.org.uk)

[Companies House \(https://www.gov.uk/government/organisations/companies-house\)](https://www.gov.uk/government/organisations/companies-house)

[CFA Society of the UK \(https://www.cfainstitute.org/community/membership/directory/Pages/index.aspx#section-1\)](https://www.cfainstitute.org/community/membership/directory/Pages/index.aspx#section-1)

[The Chartered Banker Institute \(CBI\), Formerly known as The Chartered Institute of Bankers in Scotland \(CIOBS\) \(https://www.charteredbanker.com/\)](https://www.charteredbanker.com/)

[The Chartered Institute for Securities and Investment \(CISI\) \(https://www.cisi.org/cisiweb2\)](https://www.cisi.org/cisiweb2)

[The Chartered Insurance Institute \(CII\) \(https://www.cii.co.uk\)](https://www.cii.co.uk)

[The London Institute of Banking and Finance \(LIBF\) Formerly known as The Institute of Financial Services \(IFS\) \(https://www.libf.ac.uk/\)](https://www.libf.ac.uk/)

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[Contact us \(https://www.fca.org.uk/site-info/contact\)](https://www.fca.org.uk/site-info/contact)

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